

Date: 03 August 2021

The Portfolio on Justice and Correctional Services

ATTENTION: Committee Secretary

Mr. Vhonani Ramaano

E-mail: •t -_

Dear Sir

**RE: MOTIVATION FOR NOMINATION OF ADVOCATE LBOGANG CORDELIA
STROOM-NZAMA AS A MEMBER OF THE INFORMATION REGULATOR**


In response to the call for nominations for the appointment to as a Member of the Information Regulator, I Adv. Fumani Baloyi, and an acting Judge of the Labour Court of South Africa, do hereby nominate Adv. Lebogang Cordelia Stroom - Nzama for consideration in this regard.

I had an opportunity to interact with Adv. Stroom since our university days at the University of Pretoria. Adv. Stroom is a highly legally qualified, extensively experienced and an effective legal practitioner. She was admitted as an Attorney of the High Court of South Africa in 2006. She then further worked in the private sector holding various managerial and specialist positions in specialised areas of risk, legal and compliance.

She was then admitted by the Johannesburg Society of Advocates in Sandton, Johannesburg in 2012 to do her pupillage. She did her pupillage at the Bridge Group of Advocates where I was already a member. She holds a Bachelors of Laws degree (LLB) from University of Pretoria and Masters of Laws degree (LLM) with specialisation in commercial law from the University of South Africa.

She has extensive experience in commercial and civil litigation and ran her own practice until she was appointed in 2016 to serve as a Member of the Information Regulator. She worked with various Senior Counsels during her tenure as a practising advocate.

Given her dedication, experience, leadership competence and interest to contribute to the country at large, I trust that she will add value to serve as a Member of the Information Regulator.

The logo consists of the letters 'V', 'J', and 'I' in a stylized, serif font. The 'V' is on the left, followed by 'J' and 'I' in parentheses. A double slash is positioned above the 'I'.

Adv. Fumani Baloyi

The Bridge Group of Advocates

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Tell: 1

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03 August 2021

The Portfolio Committee on Justice and Correctional Services

E-mail:\

Attention: Mr Vhonani Ramaano

**RE: SIGNED ACCEPTANCE OF NONIMATION TO SERVE AS A MEMBER OF THE
INFORMATION REGULATOR**

I, Lebogang Cordelia Stroom-Nzama do hereby accept the nomination by Adv. Fumani

Baloyi to serve as a Member of the Information Regulator

You"l

Adv. WtiPgang Cordelia Stroom- Nzama

03 August 2021

Advocate Lebogang Cordelia Stroom-Nzarrta

4263 BLUE VALLEY GOLF & COUNTRY ESTATE, KOSMOSDAL CENTURION

Profile: Legal professional with qualifications in Law. Admitted Advocate of the High Court of South Africa and previously admitted as an Attorney of the High Court of South Africa. Masters of Law graduate with specialisation in commercial law in areas of Company law, Individual and Collective Labour Law and the law relating to Negotiable Instruments.

AREAS OF COMPETENCIES

- Strategic Capability and Leadership
- Good communication and analytical skills
- Change Management Capability
- People Management and Empowerment
- Conflict Management
- Project Management Capability

EDUCATION

- University of Pretoria
LLB degree
- University of South Africa
LLM degree - Masters Degree with specialisation in Commercial Law
- University of JHB
Certificate - Post graduate diploma In Compliance Management

PERSONAL INFORMATION

- Name Lebogang Cordelia Stroom - Nzama
- Date of birth
- ID Number
- Citizenship South African
- Gender Female
- Marital status Married
- Dependant(s) 02
- Languages English, Setswana, Sotho, Zulu & Afrikaans
- Interests Reading, Music, Outdoor Lifestyle
- Driver's Licence Code 08 (EB)

WORK EXPERIENCE

Information Regulator (Regulator)

Full-Time Member

December 2016 to date

Appointed to serve as a full-time Member of the Information Regulator for a period of five (5) years.

- Chairperson of the Information Regulator's Complaints & Investigations Committee and formerly the Chairperson of the Compliance & Monitoring Committee.
- Enforcing the core legislative mandate in terms of the Protection of Personal Information Act No.4 of 2013 (POPIA) and the Promotion of Access to Information Act No.2 of 2000 (PAIA).
- To fulfil the Powers, Duties and Functions as set out in Section 40 of POPIA which includes the following: provision of Education, Monitoring and enforcing of Compliance, Consulting with interested parties, Handling of Complaints, conducting Research, developing Codes of Conduct and to facilitate Cross-Border Co-operation in the enforcement of the privacy laws.
- The core functions in terms of PAIA includes to handling of Complaints, Investigations and to issuing of notices.
- Additional functions in terms of section 83 & 84 of PAIA and to make an assessment whether public and private bodies comply with the provisions of PAIA.

Council for the Built Environment (CBEJ)

Chairperson

Appeal Committee

February 2016 to date

- Ensure that all appeals are conducted in accordance with section 33 of the Constitution of the Republic of South Africa.
- Ensure that all appeals are decided with fully motivated reasons within 60 days of the lodgement and furnished to the CBE.
- Directing the activities of the Appeal Committee.

Duma-Nokwe Group of Advocates

Advocate

The Bridge Group of Advocates & Duma Nokwe Group of Advocates

Sandown, Sandton

January 2012 to December 2016

Areas of practice include Commercial Litigation; Insurance Law; Company Law; Administrative Law; Competition Law; Contract Law; Property Law; Forensic Law; Municipal Law and General Civil Litigation.

Private Practice

- General practice entailing Legal Research, analysis of Case Law, providing Legal Advice, Drafting of Legal Opinions and providing advice on evidence in preparation for trial.
- Consultation with clients, taking proper brief in preparation of High Court appearances both in motion and civil matters.

- Reviewing, Drafting and Vetting of Contracts i.e. Commercial Contracts
- Attending to High Court Applications for property contraventions and eviction for the City of Johannesburg and Ekurhuleni Local Municipality.
- Attending to Commercial and Civil Litigation on behalf of Mogale City Local Municipality, City of Johannesburg and Ekurhuleni Local Municipality.
- Ensuring that the Municipality's by-laws and Regulatory Universe comply with the relevant Legislative and Regulatory requirements. i.e. Municipal Finance Management Act; Municipal Systems Act; National Environmental Management Act and the Occupational Health & Safety Act.
- Assessing the Risk Management Plans and advising on the controls that should be in place to minimise the risks.
- Ensuring that the Systems, Controls and Processes are in place.

Special Investigating Unit

- Forensic Investigation of procurement process for the Special Investigating Unit in relation to various National Government Departments in ensuring compliance with the relevant Regulatory and Statutory requirements.
- To assess whether compliance with Constitutional and Statutory obligations by the Departments have been adhered to.
- Report the outcome of the investigation to the Head of the Unit and advice on remedial action.
- Drafting and preparation of High Court papers for judicial review of the administrative action.

Liberty Life

Compliance Specialist

Retail SA Risk & Compliance
February 2011 to December 2011

- To pro-actively and constructively assist the Business Unit /Group in managing its responsibility to comply with all regulatory items and minimise compliance risks through the providing of legal advice and guidelines to operational management through the application of Risk Management Principles, Policies, Processes and Procedures.
 - Develop Compliance Risk Management solutions within Strategic Guidelines and Policy.
 - Facilitate the management of breaches within the Business Unit /Group through application of Risk Management principles.
 - Performing on-going monitoring of Compliance Risks.
 - Reporting on outcomes of Compliance Monitoring exercises.
 - Supporting the Strategic and Operational objectives as agreed by the Head of Compliance.
 - Facilitate workable and sustainable compliance risk management solutions across the Group.
- #1 Provide advice and training to all levels on risk management principles.

Sastia LTD

Business Development Manager

Business Development Division
August 2009 to January 2011

- Assess the level of compliance to service level agreements with regards to financial support services.
- Assess the level of divisional compliance to key regulatory, legislative and corporate governance requirements.

- Ensuring that Strategic plan review is completed and annual business plan are developed within stipulated timeframes.
- To manage risk within the Business Development Department.
- Contribution in Identification and development of products in conjunction with Actuarial and underwriting support.
- Contribution in Identification and development of new markets in conjunction with Actuarial and underwriting support.
- Identification and development of new channels in conjunction with Actuarial and Underwriting support
- Research to establish Sasria as an authority in Special Risk Insurance.
- To develop and implement Stakeholder Relationship Management Strategy.
- Updating and maintenance of the Risk Register.
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Corporate Legal Manager

Corporate Services Division
April 2009-August 2009

Legal Compliance Manager

Corporate Services Division
March 2008 - April 2009

- To provide Legal Services with regards to Corporate matters, General Management of the Corporate Legal Department.
- Ensure that Legal opinions meet the necessary quality standards and provided within stipulated timeframes.
- Manage the development of Service Level Agreements for all service providers.
- Ensure the drafting of contracts for new services providers.
- Responsible for Contract Management, Training and Awareness on legislations on the risk profile.
- Review and drafting of the coverage plan annually.
- Updating and drafting of the Risk Management Plans.
- Sasria Insurance Maintenance of premiums claims & covers.
- Regulatory or industry communication/interpretation of information.
- Engaging with regulatory bodies SAIA, National Treasury and the FSB.
- Sound knowledge of the principles of Corporate Governance, King III, Companies Act, Public Finance Management Act, Insurance Act, FAIS, National Credit Act, Broad Based Black Economic Empowerment Act, Preferential Procurement Policy Framework Act and Consumer Protection Act, Contract law.
- Compliance monitoring in various departments within the organisation.
- Determining the adequacy and effectiveness of the controls and conducting reviews from time to time in conjunction with internal audit.
- Conducting compliance presentation to the business based on legislative training and awareness
- Reviewing all internal policies and aligning them to applicable laws.
- Development of the risk and compliance framework and policies and reviewing same annually
- Conducting compliance from the risk-based approach.
- Review company contracts and policies including procurement contracts and policies.

STRATEGIC MANAGEMENT - Develop, Review and Implement Sasria Strategy and Business Plans.

FINANCIAL MANAGEMENT - Compile and Manage Legal/ Compliance & Risk Budget and control costs.

OPERATIONS MANAGEMENT - Manage Legal action, Drafting and negotiating Legal contracts, Review Legal documents, Compliance audits, Review and implement Sasria policies and procedures (Corporate Governance Manual), Ensure compliance to Corporate Governance and Risk Management.

PEOPLE MANAGEMENT - Manage Individual and team performance, workplace climate, recruit and select staff, develop individual and team competence.

PROCUREMENT MANAGEMENT - Drafting and negotiating procurement contracts; providing legal advice on procurement related matters within the business by managing projects in business areas such as Marketing; the Managing Director's Office, Business Development, Actuarial department, Claims, Underwriting, Finance and Internal Audit.

First National Bank (Bank City) Head Office

Segment Compliance & Risk Manager

Interbank Risk & Compliance - CFO & SUPPORT SEGMENT

01 October 2006- 29 February 2008

- Responsible for providing advisory services to support essential business functions, Monitoring and consulting on internal and external compliance issues, reporting of non-compliance on behalf of all business units in CFO & Support Unit.
- Liaising with Regulators, Legal Counsel and role players in the compliance industry.
- Oversight of Compliance Risk Management process in small Business Units.
- Development and co-ordinating of Compliance Risk Management Plans for CFO & Support services Business Unit.
- Monitoring and communicate changes in legislation to the CEO/ CFO of various Business Units.
- Assist with training needs and provision of ad hoc advice on compliance related matters to CEO/ CFO of Business Units.
- Compiling compliance reports and consolidating them at segment level and attending Compliance meetings, Audit Committee Meetings and various Risk and Compliance Meetings/ EXCO 's with each Business Unit.
- Driving of Operational Risk Management, Implementation of Basel II Operational Risk Framework and liaising with Capital Management, Senior Management and Industry forums
- Completion of Risk Assessments and drafting guidelines, newsletters and other documents, conducting research on risk management related to banking and attending Risk Committee meetings.
- Ensuring compliance with the Banks Act, FICA, NCA, Competition Act, King III and the Companies Act.
- Managing Legal, Compliance and Risk issues within the CFO & Support Segment, which comprises of 11(eleven) business units, of which the procurement unit falls within the segment.
- Responsible for legal assistance required within the business unit, relating to tender processes and the Service Level Agreements between various business units within the Bank.
- Reviewing and vetting of procurement contracts and ensuring compliance with the applicable legislation.

Santam Ltd

Santam Legal Department

Legal Officer

01 July 2005 - 30 Sept-2006

- Responsible for effective handling of recoveries and third party claims by recovering on behalf of insurer.
- Evaluating and settling of third party claims instituted against the insured.
- Ensuring efficient and comprehensive claim service to client.
- Drafting of service operating procedure which regulates relationship with other stakeholders.

Hack Stupel and Ross Attorneys

Candidate Attorney: Litigation and Collection Department

01 November 2003 - 30 April 2005

- Responsible for attending to civil litigation and motion applications in the Magistrates Court.
- Briefing of Advocates for preparation of trials in High Court.
- Attending to Section 65 collections matters.
- Attending to sale in execution on behalf of various financial institutions.

TRAINING COURSES AND WORKSHOPS

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| • Pretoria School for Legal Training | Law Society of South Africa |
| • Certificate in SRC Matric Project | Technikon Northern Transvaal |
| • Merit Certificate Social Committee | University of Pretoria |
| • Certificate in Short Management of HIV/ AIDS | University of Pretoria |
| • Best Performance in Litigation Assessment Skills | Law Society of South Africa |
| • Workshop on Introduction to Trial Advocacy | Black Lawyers Association |
| • Workshop on Competition Commission | Vani Chetty Competition Law |

COMMITTEES

- | | |
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| • Segment Compliance Committee | : CFO & Support Segment Representative |
| • Risk & Compliance Committee | : CFO & Support Segment Representative |
| • Risk & Audit Committee | : CFO & Support Segment Representative |
| • Sasria Operations Committee | : Business Development Representative |
| • Sasria Transformation Committee | : Manager's Representative |
| • Protea Mews Home Owners Association | : Board Member |

REFERENCE \$

- Mrs Lerato Makenete
Transnet Corporate JHB- Group Risk Management
- Mrs Karen Pepler
Financial Director - Sasria